Approaching the biology of human parental attachment: Brain imaging, oxytocin and coordinated assessments of mothers and fathers

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ABSTRACT

Brain networks that govern parental response to infant signals have been studied with imaging techniques over the last 15 years. The complex interaction of thoughts and behaviors required for sensitive parenting enables the formation of each individual’s first social bonds and critically shapes development. This review concentrates on magnetic resonance imaging experiments which directly examine the brain systems involved in parental responses to infant cues. First, we introduce themes in the literature on parental brain circuits studied to date. Next, we present a thorough chronological review of state-of-the-art fMRI studies that probe the parental brain with a range of baby audio and visual stimuli. We also highlight the putative role of oxytocin and effects of psychopathology, as well as the most recent work on the paternal brain. Taken together, a new model emerges in which we propose that cortico-limbic networks interact to support parental brain responses to infants. These include circuitry for arousal/salience/motivation/reward, reflexive/instrumental caring, emotion response/regulation and integrative/complex cognitive processing. Maternal sensitivity and the quality of caregiving behavior are likely determined by the responsiveness of these circuits during early parent-infant experiences. The function of these circuits is modifiable by current and early-life experiences, hormonal and other factors. Severe deviation from the range of normal function in these systems is particularly associated with (maternal) mental illnesses – commonly, depression and...
1. Introduction to the parental brain

Human mothers and fathers exhibit a repertoire of parental thoughts and activities that manifest interesting similarities and differences as part of an array the crosses cultures (Hrdy, 2000) and species across species (Clutton-Brock, 1991) with the common goal of caring for offspring. For humans, besides meeting the primal evolutionary needs for survival and continuation of our species, parenting involves interrelated biological, psychological, and behavioral caregiving mechanisms that contribute critically to the first environment the child experiences as a new family member.

The rich animal literature on parental brain (Bridges, 2008) strongly supports the notion that a coherent understanding of the physiology governing parenting in humans is also possible. Indeed, much of the research on the human parental brain involves attempts to look for human homologs of ‘parental’ brain circuits found in animals. This approach has initially been successful using audiovisual stimuli of babies during functional imaging – particularly with contrasts of own vs. unknown babies – to activate brain regions that recognize and respond to the special salience for the parent of their own baby’s stimuli (Barrett and Fleming, 2011; Swain, 2011b; Swain et al., 2007).

In this review, we summarize the state-of-the-art of human parental brain imaging in mothers and fathers. More specifically, we focus on the interpretation of brain-responses to baby cry and baby visual stimuli respectively and consider attempts to integrate findings within the cognitive, affective, and social neurosciences. First, we selectively review the psychological and imaging evidence of relevant executive, emotion response/regulation and reward mechanisms that may be activated in the service of parental sensitivity. We then discuss these findings in the context of research on oxytocin, and review recent attempts to understand how parenting difficulties or even psychopathology may be understood as abnormalities or malfunctions of parental brain circuits. The importance of this mechanistic understanding of parenting is underscored by consideration of effects on offspring and possibilities to identify families at risk and optimize therapeutic interventions.

1.1. Parental sensitivity and child attachment

Ainsworth et al. (1978) first defined maternal sensitivity as a mother’s ability to attend and respond to her child in ways that are contingent to the infant’s needs. In the naturalistic context, sensitive maternal care behaviors show a great deal of variation between individual mothers, despite being relatively stable in the same mothers across time and contexts (Behrens et al., 2012; Jaffari-Bimmel et al., 2006; Wan et al., 2013). Maternal sensitivity represents a pattern of behavior which provides the infant with its primary social experience. This suggests that it is important for organizing and regulating the infant’s emotional, social and cognitive systems and is consistent with accumulating evidence that maternal sensitivity predicts a range of social-emotional child outcomes – including the quality of their attachment relationships (Bakermans-Kranenburg et al., 2003; De Wolff and van Ijzendoorn, 1997), self-regulation (Eisenberg et al., 2001), social functioning (Kochanska, 2002; Van Zeijl et al., 2006), socio-emotional development (De Wolff and van Ijzendoorn, 1997), and cognitive and language competence (Bernier et al., 2010; Tamis-LeMonda et al., 2001). Furthermore, the absence of skills needed to respond sensitively to child signals has been linked to risk for maltreatment (Milner, 1993, 2003). Poor maternal sensitivity in infancy predicts later harsh parenting (Joonsen et al., 2012) and attitudes towards punishment (Engfer and Gavranidou, 1987). Frightening and anomalous maternal behavior confers profound risk to the parent-infant attachment relationship (Skuengel et al., 1999) to subsequent child outcomes and future parenting of their own children.

The concept of parent-infant attachment represents a landmark of contemporary developmental psychology (Bowlby, 1969b, 1973). In fact, Bowlby formulated his attachment theory after studying associations between maternal deprivation and juvenile delinquency, postulating a universal human need to form close, affect-laden bonds, primarily between mother and infant. He strongly argued, from an evolutionary perspective, that attachment represents an innate biological system promoting proximity-seeking between an infant and a con-specific attachment figure. This proximity then increases the likelihood of survival to reproductive age.

Because of this powerful biological instinct for attachment, and in response to the patterns of attachment identified in the Ainsworth mother-infant studies (Ainsworth et al., 1978, Bowlby (1977) hypothesized that all human infants attach to their caregiver but that children manifest different patterns of attachment “security” depending on the quality of the care they receive. Indeed, a vast literature in the study of attachment over last several decades has established that infants of caregivers who are available, responsive and sensitive to their emotional and physical needs tend to manifest patterns of “secure attachment.” Conversely, chaotic, unpredictable, rejecting or neglectful care in which non-contingent responses...
to the child occur frequently, result in insecure or disorganized patterns of attachment evolves (Shaver et al., 1987).

Understanding the neurobiology of attachment-formation through parental sensitivity may help to formulate and ameliorate pervasive and complex social problems such as child abuse and neglect. However, little is known about the cognitive or neurobiological mechanisms which underpin healthy sensitivity, let alone poor sensitivity in mothers with mental illness. This may explain why promising interventions targeted at improving parenting through improved sensitivity have shown inconsistent findings and generally small effect sizes (Bakermans-Kranenburg et al., 2003; Wan et al., 2008c).

1.2. Neurocognitive mechanisms underlying parental sensitivity

For sensitive caregiver responses to infant cues, complex brain systems must manage an array of complex thoughts and behaviors contingent on feedback from the baby. These include recognition and acknowledgment of child signals, attribution of salience to child cues, maintenance of visual contact, expression of positive effect, appropriate mirroring and vocal quality, resourcefulness in handling child’s distress or expanding the interaction, consistency of style, and display of an affective range that matches the infant’s readiness to interact. Such behaviors are likely to be the result of complex neural networks involved in generating and organizing emotional responses (Kober et al., 2008) as well as in attention and executive function, reward and motivation, and sensorimotor circuits – a model well supported by the literature (Swain and Lorberbaum, 2008). We update this model below incorporating the newest work on fathers and the effects of mental illness on parenting capacity. Indeed, mental health problems may manifest as impairment in any of these networks and play a significant role in poor parenting. Identifying these may provide an opportunity to predict which parents are likely to have which type of parenting problems and facilitate targeting of interventions. Here, we highlight brain processes, mechanistically related to certain cortico-limbic circuits, and relevant for healthy parenting sensitivity.

1.2.1. Executive function

Executive functions, including attention control, working memory and flexible task-switching, are likely to be important to parental sensitivity. Deficits in attention set-shifting, spatial working memory and a sustained attention measure have been linked with poor maternal sensitivity to non-distress infant cues (Gonzalez et al., 2012). In closely related work, mothers with a classification of disorganized attachment responded more slowly to negative attachment words and the speed of response to such stimuli was correlated with disorganization, suggesting negative associations with attachment stimuli that may contribute to ongoing cognitive difficulties during mother–infant interactions (Atkinson et al., 2009). Greater attention bias to infant distress cues in late pregnancy has also been associated with better scores on a parental bonding questionnaire (Pearson et al., 2010), raising the question of how attention deficit disorder affects parenting – an issue yet to be studied. Attention bias to infant distress has also been compared between breastfeeding (n = 27) and formula feeding (n = 24) mothers of 3–6 month old infants and observed to be greater in breastfeeding mothers (Pearson et al., 2011). It is plausible that reduced attention bias towards emotional stimuli (specifically infant distress) is associated with low maternal sensitivity in some mothers. However, other mothers with low sensitivity may show a selectively exaggerated attention bias to infant distress which results in the mother becoming overwhelmed by the stimuli; this may be particularly relevant for mothers and fathers with schizophrenia or in those with little social supports in deprived circumstances. In depressed patients, evidence suggests a tendency to pay more attention to negative emotional stimuli (Elliott et al., 2011); an effect mediated by enhanced response in the ventral anterior cingulate, which may contribute to the maintenance of low mood.

1.2.2. Emotion regulation

Recognizing emotion in preverbal infants is more difficult than recognizing emotions in adults. In some parents, inability to recognize and distinguish the subtleties of infant emotion cues may underpin poor maternal sensitivity. Consistent with this, depression is associated with decreased discrimination of facial emotion (Anderson et al., 2011). Response to distressing signals from the infant also requires a mother to distinguish positive from negative emotions; indeed, studies suggest that a mother’s sensitivity to distress may be a better predictor of child outcomes than her sensitivity to non-distress cues (Joosen et al., 2012; Leerkes, 2011; Leerkes et al., 2009; McElwain and Booth-Laforce, 2006). Thus, poor maternal care behavior could derive in part from reduced recognition, as well as reduced response to infant emotions generally, and/or specifically, to signals of infant distress. Conversely, some mothers may become overwhelmed by their infant’s distress. Notably, enhanced responsiveness to negative emotions, (mediated by enhanced amygdala response), has been observed in non-parent depression (Amone et al., 2012). In depressed mothers, studies suggest women may avoid or limit exposure to distressing infant stimuli (Field, 2010; Murray et al., 1996; Pearson et al., 2012). In anxiety and depression, modulating stress and emotional responsiveness is an important target for treatment and is associated with clinical improvement (Harmer et al., 2011). The importance of emotion regulation in the responses to baby stimuli is also consistent with the ideas of postpartum preoccupations discussed below (Section 1.2.4).

1.2.3. Reward/motivation

Extensive recent review of the animal literature (Numan and Woodside, 2010) suggests that response to infants forms a model motivational system employing dopamine and oxytocin-rich pathways such as the medial preoptic area (MPOA). Through such pathways, infant cues are thought to provide motivation for maternal care behavior. Reward processes include immediate hedonic responses (”liking”) and approach motivation (“wanting”) or learning (Berridge and Kringelbach, 2008). Frontostriatal brain regions are also critically implicated in reward, in particular the orbitofrontal cortex (OFC) (Rolls, 2004) and ventral striatum including...
nucleus accumbens (NAcc) (Born et al., 2011). Although the OFC generally codes hedonic signals, the medial OFC is particularly important for computing reward value while the lateral OFC makes stronger contributions to reward learning. In mothers, the initial experience of pleasure and activity in these brain circuits when exposed to their own infant’s cues may increase the salience of their infant’s stimuli and promote greater attention and bond-formation to ensure continuous engagement in sensitive caregiving. Indeed, reward/motivation pathways have been shown to be active in response to baby-stimuli (discussed below). In mothers with low sensitivity, the motivational or incentive salience of emotional and/or infant cues may be diminished through deficits in this and also in other OT-opioid reward pathways (Curley, 2011). Thus, in rhesus monkeys, mothers with greater attachment to infants possess the G allele of the OPRM1 μ-opioid receptor and have higher oxytocin responses to lactation and pregnancy (Higham et al., 2011). Strikingly, activity of opioids at the μ-opioid receptor is also central to the processing of reward in the context of drug dependence (Herz, 1997; Simmons and Self, 2009), and social behaviors (Higham et al., 2011). Very few studies, however, have examined neural substrates of reward-related processes among mothers who display disrupted caregiving behavior.

1.2.4. Parental thoughts – preoccupations/habits, empathy and positive thoughts

Parents experience dynamic change in their thoughts and behaviors oriented toward their new infants. Immediately after a child’s birth and during the first few months of the infant’s life, parents are particularly drawn to their infants’ vocalizations and physical attributes and focus their thoughts on the infants’ physical and psychological needs (Bowlby, 1969a; Winnicott, 1956). Such intense mental focus and behaviors were first referred to as “primary maternal preoccupation” by Winnicott (1956) and seem also consistent with the activation of motivational-reward pathways by infant cues reflecting an important evolutionary mechanism through which infants promote long-term emotional ties with their parents (Atkinson et al., 2009; Feldman et al., 1999; Gonzalez et al., 2012; Leckman and Mayes, 1999).

Leckman et al. (1999) reported that all domains of parental preoccupation peaked right after childbirth and then began to decrease over the course of the first three to four postpartum months in mothers and fathers, albeit less intensely for fathers. Since newborns have very limited ways of communicating their needs, parents must constantly check the infants in order to identify and attend to those needs. The intensity of parental preoccupations decreases as parents gain more experience in parenting and infants become more responsive. However, excessively and persistently high levels of parental preoccupation, particularly anxious and intrusive thoughts and harm-avoidant behaviors can be associated with postpartum psychopathology such as postpartum obsessive compulsive disorders (OCD). In one sample, levels of parental preoccupations were related to postpartum anxiety at the first month postpartum (Feldman et al., 1999) and lower maternal sensitivity at 3–4 months postpartum (Kim et al., 2013). Abnormally low levels of parental preoccupation, e.g. in postpartum depression also pose difficulties in developing emotional bonds with the infant, and risk inadequate parenting (Feldman et al. 1999, 2009). Maternal schizophrenia also interferes with care giving behavior in ways that merit separate study and treatment (Abel et al., 2005; Wan et al., 2008a, 2008b, 2008c). For typically developing parents, the story for fathers will likely differ from mother. For example, unlike for mothers, paternal sensitivity was predicted by anxious as well as caregiving and positive thoughts (Kim et al., 2013). More nuanced assessments of mother and father thought, mood and behaviors are required to clarify how they are related over time and how they might inform the nascent study of paternal brain physiology (below).

Parental empathy, (appropriate perception, experience and response to another’s emotion) may be especially relevant for preverbal infants. Deficits of empathy in disorders such as schizophrenia and autism have been associated with abnormalities in certain brain networks (Gallese et al., 2004; Iacoboni, 2005; Uddin et al., 2007). These systems overlap significantly with brain responses of parents to infant stimuli reviewed here i.e. cingulate and insular cortices (Bernhardt and Singer, 2012).

Experiencing pain personally and experiencing the pain of a loved one activate insula and anterior cingulate, with another’s pain activating more anterior regions and own pain also recruiting brainstem, cerebellum, and sensorimotor cortex. Such decoupled, yet parallel representations of empathy in cortical structures like insula and anterior cingulate are postulated as necessary for our ability to mentalize, that is, understand the thoughts, beliefs, and intentions of others in relation to ourselves (Frith and Frith, 2003; Hein and Singer, 2008). Humans may utilize separate circuitry to “decouple” representations of external vs. internal information in order to understand physical properties and assess personal emotional values. In support of this, a brain network consistently activated during tasks that require mentalizing has emerged, including dorsomedial prefrontal cortex (DMMPFC), medial prefrontal cortex (MPFC), prefrontal cortex (PCC), temporoparietal junction (TPJ), and posterior superior temporal sulcus (pSTS) (Frith and Frith, 2006; Mitchell, 2009). Such a framework for understanding the contribution of social ties to broad health issues (Eisenberger and Cole, 2012) promises to be very helpful in considering the importance of parent-infant dyads in health promotion.

By three to four months postpartum, infants are more socially interactive, and parents increasingly engage in reciprocal positive interactions. These positive interactions further help mothers to strengthen their attachment and heighten the experience of positive feelings toward their infants (Mercer, 1985). As they successfully feed, take care of, and build affectionate connections with their infants, mothers develop positive feelings and self-confidence about parenting (Benedek, 1954). Fathers may develop attachment to their infants more gradually (Anderson, 1996; Pruett, 1998). Positive feelings about their infants and parenting experience maybe critical in pathways engaging dopamine-oxytocin reward circuits described in animal models (MacDonald, 1992; Numan and Insel, 2003b; Shahrokh et al., 2010). Thus, interactions with the infant may enhance parental oxytocin and dopamine release and foster the maintenance of positive parental behaviors with associated attentiveness and sensitive caregiving – perhaps implicating...
future therapeutic potential (Macdonald et al., 2013). In contrast, if interactions with the infant are negative and stressful, parents may experience less brain activation in reward-motivation pathways, find the relationship less satisfying, harbor fewer positive parental thoughts and be less willing to maintain it.

Such reward pathways maybe relevant very early in the postpartum, as mother’s positive feelings towards her unborn fetus as well as her perception of her fetus have been associated with greater maternal sensitivity to the infant’s signals and more affectionate vocalizations and touch (Keller et al., 2003; Keren et al., 2003). It may be interesting in the future to examine prepartum thoughts as relevant for post-partum behaviors. Idealization of the infant and positive thoughts about parenting may positively reinforce both quality of parenting and feelings of reward. On the other hand, worrying about the infant – such as health and other concerns – may be associated with lower parental sensitivity and higher intrusiveness. Indeed, negative feelings about parenting may be linked to the parents’ difficulties in developing emotional bonds with their infants (Mercer, 1985; Nystrom and Ohrling, 2004) although the nature and direction of this link needs clarification.

The following sections review the current state of evidence from experiments designed to elucidate the brain basis of parental attachment by presenting infant stimuli – sometimes emotionally charged – during brain imaging. Such studies have incorporated psychological, behavioral and hormonal measures and a very few include parents with abnormalities in parenting or mental illness. The power and sensitivity of functional imaging deliver mechanistic understanding of abnormalities in brain associated with poor parenting and promise earlier detection of problems that may not be obvious from behavioral or self-report measures. In the future, brain-based understandings may allow refinement of tailored treatment approaches toward parenting and targeted augmentation of parenting resiliency (Rutter, 2013; Swain et al., 2012).

Tables 1 and 2 summarize experiments to date on human parents using baby sound and visual stimuli with brain fMRI.

1.3 Human brain imaging methods

The primary technique used to study the brain in this review is non-invasive blood-oxygen-dependent functional magnetic resonance imaging (fMRI). fMRI assays brain activity by indirectly measuring changes in regional blood oxygenation during different periods in which infant cues may be presented. The differences between a region’s oxygenated and deoxygenated hemoglobin, between states of action vs. inaction for instance, provide characteristic magnetic signals localized to millimeters that are detected by scanners positioned around each subject’s head. An important caveat throughout the interpretation of parenting fMRI studies, however, is that brain activity measurements represent an integration of electrical brain activity that may be instantaneous yet the related blood flow change lags behind over seconds. Furthermore, experimental design captures brain activity over periods of a few seconds or 10’s of seconds. On the one hand, short blocks or events may capture briefly held mental states, but miss bigger changes such as sustained emotion; while on the other hand longer blocks may capture more complex brain responses, but also average them out making subtle responses more difficult to detect. Brain activity during these blocks may then be measured and compared between periods of attending to stimuli of interest and control stimuli to generate maps of the brain indicating differences in brain activity that may be important for one set of perceptions and thoughts vs. another. With parents as subjects, infant cries and pictures have been stimuli and comparisons of brain activity measured during baby cry vs. control sound experience have been said to relate to the parental experience of a baby cry, and so the associated parenting thoughts and behaviors. Many of these studies make use of seed-based analyses with modest group sizes that will require replication in different populations to permit generalization of findings and all results could relate to activity that is excitatory or inhibitory. Future imaging with connectivity analyses also promise to define more than just response regions, but circuit behavior involving coordinated activity between distant brain areas (Sripada et al., 2013). The important limitation that response to baby cues may not be directly related to parental care is being addressed in studies that correlate responses to parental care described below.

2. Parental brain responses to infant stimuli

2.1 What’s in a baby-cry?

In addition to communicating basic levels of discomfort, hunger, and pain (Solits, 2004), baby cry also signals the need for physical proximity of caregivers leading to the dynamically interactive cry-care thoughts and behaviors that lead to attachment (Swain et al., 2004b), for which new parents appear to be primed (Bowlby, 1969b). Supportive studies have demonstrated that human mothers can recognize the cries of their own infants, and mothers and fathers activate brain areas hypothesized to be involved in mammalian parenting behavior (Swain and Lorberbaum, 2008; Swain et al., 2007).

2.1.1 Brain responses to auditory baby-stimuli: baby-cry – 1st 10 years

Building on the thalamocingulate theory of maternal behavior in animals (MacLean, 1990), Lorberbaum et al. (1999) - in the first, though rather small study of its kind - predicted and found that baby cries selectively activate cingulate and thalamus in mothers in the early postpartum months exposed to an audio taped 30 s standard baby cry using fMRI. Informed by the animal literature, they expanded their hypotheses to include the basal forebrain’s medial preoptic area and ventral bed nucleus of the stria terminalis and its rich reciprocal connections as being critical to parental behaviors (Lorberbaum et al., 2002). These include the descending connections which modulate more basic reflexive caring behaviors such as nursing, licking, grooming and carrying reflexes in rodent studies, and ascending connections such as the mesolimbic and mesocortical dopamine systems involved in more general motivation and flexible responses required to tend a crying infant or prepare for a threat. Even though these first studies involved cry stimuli...
that did not originate from the parent’s own infant, and the control sounds were emotionally negative (sounded like harsh static on the television), significant brain responses fit with existing knowledge about regulation of parenting behavior in animals (Numan and Insel, 2003a) and opened up the field.

Hypothesizing that parental gender and experience would also influence neural responses to baby sounds such as baby

### Table 1 – Human parent brain responses to infant stimuli.

<table>
<thead>
<tr>
<th>Author, year</th>
<th>Parent group</th>
<th>Age of infants</th>
<th>Paradigm, variable</th>
<th>Study design</th>
<th>Brain network</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td></td>
<td></td>
<td></td>
<td>Arousal</td>
</tr>
<tr>
<td>Lorberbaum et al. (1999)</td>
<td>4</td>
<td>3 weeks to 3.5 years</td>
<td>Other vs. control</td>
<td>30 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Lorberbaum et al. (2002)</td>
<td>10</td>
<td>1-2 months</td>
<td>Other vs. control</td>
<td>30 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Seifritz et al. (2003)</td>
<td>10</td>
<td>&lt;3 years</td>
<td>Other vs. control, +ve/- ve</td>
<td>6 s Events</td>
<td>Y</td>
</tr>
<tr>
<td>Swain et al. (2003, 2004a, 2006)</td>
<td>11-14</td>
<td>2-4 weeks and 3-4 months</td>
<td>Own vs. other, experience and thoughts</td>
<td>30 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Swain et al. (2008)</td>
<td>12</td>
<td>2-4 weeks</td>
<td>Own vs. other, delivery</td>
<td>30 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Kim et al. (2003)</td>
<td>26</td>
<td>2-4 weeks</td>
<td>Own vs. control, early-life</td>
<td>30 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Kim et al. (2011)</td>
<td>20</td>
<td>2-4 weeks</td>
<td>Own vs. other, feeding</td>
<td>30 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Laurent et al. (2011)</td>
<td>22</td>
<td>18 months</td>
<td>Own vs. other, HPA axis</td>
<td>21 s Blocks</td>
<td>N</td>
</tr>
<tr>
<td>Venuti et al. (2012)</td>
<td>9 (of 18)</td>
<td>&gt;4 years</td>
<td>Hunger cry vs. noise, atypical cry from infants with autism</td>
<td>10 s Events</td>
<td>N</td>
</tr>
<tr>
<td>Seifritz et al. (2003)</td>
<td>10</td>
<td>&lt;3 years</td>
<td>Other vs. control, +ve/- ve</td>
<td>6 s Events</td>
<td>Y</td>
</tr>
<tr>
<td>Swain et al. (2003, 2004a)</td>
<td>9</td>
<td>2-4 weeks and 3-4 months</td>
<td>Own vs. other, experience and thoughts</td>
<td>21 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Mascaro et al. (2013a)</td>
<td>36</td>
<td>1 or 2 years</td>
<td>Other-baby-cry vs. control sound</td>
<td>5 s events</td>
<td>Y</td>
</tr>
<tr>
<td>De Pisapia et al. (2013)</td>
<td>9M+9F</td>
<td>1 year</td>
<td>Hunger cry, males vs. females</td>
<td>14 s Blocks</td>
<td>N</td>
</tr>
<tr>
<td>Laurent and Ablow (2012)</td>
<td>22</td>
<td>18 months</td>
<td>Own vs. other, postpartum depression</td>
<td>21 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Musser et al. (2012)</td>
<td>22</td>
<td>18 months</td>
<td>Own vs. other, maternal sensitivity</td>
<td>21 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Landi et al. (2011)</td>
<td>54</td>
<td>2 months</td>
<td>Other vs. noise, high and low distress cry, substance abuse</td>
<td>2 s Events</td>
<td>Y</td>
</tr>
</tbody>
</table>

Parental brain papers are comprehensively listed in chronological order and according to responses mothers, fathers and psychopathology – to baby cry with columns for parent group, time point (age of infant), experimental paradigm, and study design. Brain networks related to parenting featured in the article are also indicated. Empty boxes indicate lack of significance in the networks defined as

(i) Arousal/Salience = amygdala, ventral striatum,
(ii) Reflex Care = hypothalamus,
(iii) Emotion Regulation = mPFC, ACC, and
(iv) Cognition = dlPFC, insula, inferior frontal and orbitofrontal gyri, temporoparietal junction.

**Glossary for Table:** activations and deactivations, measured by functional magnetic resonance imaging, satisfied significance criteria of random effects analysis at p < 0.05 or fixed effects analysis at p < 0.001 at a minimum.

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### Table 2 – Human parent brain responses to infant stimuli.

<table>
<thead>
<tr>
<th>Author, year</th>
<th>Parent group N</th>
<th>Age of infants</th>
<th>Paradigm, variable</th>
<th>Study design</th>
<th>Brain network</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Mothers</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Arousal/Salience</td>
</tr>
<tr>
<td>Swain et al. (2003, 2004a, 2004b, 2005, under review)</td>
<td>9–14</td>
<td>2–4 weeks and 3–4 months</td>
<td>Own vs. other, experience and thoughts</td>
<td>30 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Bartels and Zeki (2004)</td>
<td>19</td>
<td>9 months to 6 years</td>
<td>Own vs. other, comparison with romantic partner</td>
<td>15 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Leibenluft et al. (2004)</td>
<td>7</td>
<td>5–12 years</td>
<td>Own vs. other</td>
<td>1.5 s Event</td>
<td>Y</td>
</tr>
<tr>
<td>Ranote et al. (2004)</td>
<td>10</td>
<td>4–8 months</td>
<td>Own vs. other</td>
<td>20–40 s</td>
<td>Y</td>
</tr>
<tr>
<td>Nitschke et al. (2004)</td>
<td>6</td>
<td>2–4 months</td>
<td>Own vs. other, affect</td>
<td>30 s Blocks</td>
<td>N</td>
</tr>
<tr>
<td>Strathearn et al. (2005, 2008, 2009)</td>
<td>28–30</td>
<td>3–18 months</td>
<td>Own vs. other, affect, OT</td>
<td>2 s Events</td>
<td>Y</td>
</tr>
<tr>
<td>Noriuchi et al. (2008)</td>
<td>13</td>
<td>15–20 months</td>
<td>Own vs. other, distressed</td>
<td>32 s Video</td>
<td>Y</td>
</tr>
<tr>
<td>Lenzi et al. (2009)</td>
<td>16</td>
<td>6–12 months</td>
<td>Own vs. other, joy/distress</td>
<td>2 s Events</td>
<td>Y</td>
</tr>
<tr>
<td>Atzil et al. (2011)</td>
<td>28</td>
<td>4–6 months</td>
<td>Own vs. other, parenting syn</td>
<td>2 min Video</td>
<td>Y</td>
</tr>
<tr>
<td>Barrett et al. (2012)</td>
<td>22</td>
<td>3 months</td>
<td>Own vs. other, parenting</td>
<td>3 s Events</td>
<td>Y</td>
</tr>
<tr>
<td>Riem et al. (2011, 2012)</td>
<td>21 (Non-mom)</td>
<td>N/A</td>
<td>CRY (2 days) vs. control</td>
<td>10 s Events</td>
<td>Y</td>
</tr>
<tr>
<td>Atzil et al. (2012)</td>
<td>15</td>
<td>4–6 months</td>
<td>Own videos, synchrony, OT</td>
<td>2 min Video</td>
<td>Y</td>
</tr>
<tr>
<td>Lahey et al. (2012)</td>
<td>35</td>
<td>4–6 years</td>
<td>Own vs. other, parenting</td>
<td>13 s Video</td>
<td>N</td>
</tr>
<tr>
<td><strong>Fathers</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Arousal/Salience</td>
</tr>
<tr>
<td>Swain et al. (2003, 2004a, 2004b, 2005, under review)</td>
<td>9–14</td>
<td>2–4 weeks and 3–4 months</td>
<td>Own vs. other, experience and thoughts</td>
<td>30 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Atzil et al. (2012)</td>
<td>15</td>
<td>4–6 months</td>
<td>Own videos, synchrony, OT</td>
<td>2 min Video</td>
<td>Y</td>
</tr>
<tr>
<td>Kuo et al. (2012)</td>
<td>10</td>
<td>2–4 months</td>
<td>Own vs. other videos, Baby vs. Doll videos</td>
<td>15 s Video</td>
<td>Y</td>
</tr>
<tr>
<td>Mascaro et al. (2013b)</td>
<td>70</td>
<td>1–2 years</td>
<td>Own vs. other images, measure T, father-behav</td>
<td>14 s Blocks, regional</td>
<td>Y</td>
</tr>
<tr>
<td><strong>Maternal psychopathology</strong></td>
<td>Baby visuals</td>
<td></td>
<td></td>
<td></td>
<td>Arousal/Salience</td>
</tr>
<tr>
<td>Moses-Kolko et al. (2010)</td>
<td>30</td>
<td>12 weeks</td>
<td>Emotion faces, depression</td>
<td>4 s Blocks</td>
<td>Y</td>
</tr>
<tr>
<td>Schechter et al. (2012)</td>
<td>20</td>
<td>12–42 months</td>
<td>Own &gt; other, IPV-PTSD</td>
<td>40 s Video</td>
<td>Y</td>
</tr>
<tr>
<td>Moser et al. (2013)</td>
<td>20</td>
<td>12–42 months</td>
<td>Own &gt; other, IPV-PTSD</td>
<td>40 s Video</td>
<td>Y</td>
</tr>
<tr>
<td>Laurent and Ablow (2013)</td>
<td>22</td>
<td>18 months</td>
<td>Own vs. other – depression</td>
<td>18 s Blocks</td>
<td>Y</td>
</tr>
</tbody>
</table>

Parental brain papers are comprehensively listed in chronological order and according to responses mothers, fathers and psychopathology – to visual stimuli with columns for parent group, time point (age of infant), experimental paradigm, and study design. Brain networks related to parenting featured in the article are also indicated. Empty boxes indicate lack of significance in the networks defined as (i) Arousal/Salience = amygdala, ventral striatum, (ii) Reflex Care = hypothalamus, (iii) Emotion Regulation = mPFC, ACC, and (iv) Cognition = dlPFC, insula, inferior frontal and orbitofrontal gyri, temporoparietal junction.

Glossary for Table: activations and deactivations, measured by functional magnetic resonance imaging, satisfied significance criteria of random effects analysis at p < 0.05 or fixed effects analysis at p < 0.001 at a minimum.

Please cite this article as: Swain, J.E., et al., Approaching the biology of human parental attachment: Brain imaging, oxytocin and coordinated assessments of mothers and fathers. Brain Research (2014), http://dx.doi.org/10.1016/j.brainres.2014.03.007
cry and laughter, Seifritz et al. (2003) studied four groups: mothers and fathers of children under age 3, and non-parent males and females, with 10 subjects in each group. They used an event-related fMRI design, measuring brain responses to brief 6-s sounds. Over the entire sample, intensity-matched baby sounds of crying and laughing compared to “neutral” sounds (white noise pulsed at 5-Hz with an averaged frequency spectrum similar to the infant vocalizations) produced more brain activity in bilateral temporal regions. These regions might be important for hearing processes (Heshyl’s gyrus and temporal poles), processing human vocalizations, and empathic emotion processing including emotional memory. They also reported that women as a group including, parents and non-parents (but not men), showed decreased activity in response to both baby cry and laughter in the subgenual anterior cingulate cortex. This finding is contrary to the other studies (Lorberbaum et al., 1999, 2002; Swain et al., 2005; Swain and Lorberbaum, 2008) and highlights how sample selection, choice of stimuli and precision of region of interest examined might affect findings. It is also the case that a 6 s vs. a 30 s stimulus time may have different meanings to new parents or that there may be non-linear or multiphasic responses within anterior cingulate similar to the well-recognized phasic responses seen in amygdala. Their within-group analyses reported that parents activated more to infant crying than laughing in the right amygdala, while non-parent response was greater for infant laughing than crying (Seifritz et al., 2003). Although there was no direct comparison between parents and non-parents, these within-group results suggest that being a parent might be associated with changes in amygdala function and represents the first attempts to include gender and experience-dependent aspects of human parenting.

Taking this approach further, Swain et al. (2003) have been gathering data on groups of new parents across a range of experience, temperament and parent-infant interaction styles using each parent’s own baby cries and including comprehensive interviews and self-reports. In this design, parents underwent brain fMRI during 30 s blocks of infant cries generated by their own infant contrasted with a “standard” cry and control noises matched for pattern and intensity. In addition, they added a longitudinal component with 4 weeks postpartum, regions that were associated with greater activations to own baby cries vs. other baby-cry at 2–4 weeks vs. 3–4 months postpartum for own baby cry showed that significant activity shifted from the amygdala and insula to medial prefrontal cortical and hypothalamic (including hormonal control) regions. This fits well with changes in parenting confidence/experience in healthy parents, as a mother learns to associate her infant cries with more flexible social behaviors and more mature attachment, there is greater regulatory cortical brain activity and less alarm and anxiety-related activity (amygdala and insula).

2.1.2. Brain responses to auditory baby-stimuli: baby-cry – last 5 years

Consistent with accumulating evidence of the importance of oxytocin (OT) in establishing and regulating parenting in humans (Galbally et al., 2011), neuroimaging in humans suggests brain circuits that respond to baby-stimuli are also associated with OT pathways. In one study, mothers experiencing vaginal vs. cesarean delivery – as a proxy for higher vs. lower OT – showed greater brain activity in response to own vs. other baby-cry at 2–4 weeks postpartum in emotion regulation and limbic regions, including the caudate, thalamus, hypothalamus, amygdala and pons (Swain et al., 2008). This fits with evidence for cesarean section being associated with increased risk of postpartum depression – a condition of aberrant emotion processing (Groenewold et al., 2012). At 3–4 months postpartum, the same mothers – all of whom remained healthy – no longer showed differing responses to own baby-cry (Swain, 2011a), suggesting that any potential early-postpartum abnormalities in oxytocin are reversible. However, the relationship between Cesarean section and PPD is strongly confounded by a range of factors including low maternal age, past depression and low social supports.

Recent studies have also used breastfeeding as a proxy for maternal OT levels Imaging studies using baby cry (Kim et al., 2011), find that breastfeeding vs. formula feeding is associated with greater activations to own baby cries vs. other baby cries in anterior and posterior cingulate, thalamus, midbrain, hypothalamus, septal regions, dorsal and ventral striatum, medial prefrontal cortex, right orbitofrontal/insula/temporal polar cortex region, and right lateral temporal cortex and fusiform gyrus. Additionally, when cry response was compared with the inter-stimulus rest periods, instead of the control sound (which some mothers judged to be aversive), the amygdala was active. Furthermore, and for the first time, Kim et al. (2011) reported a correlation of brain activity to own vs. unknown baby-cry with independently-rated behavioral measures of parenting in response (amygdala) and regulation (frontal cortex) regions, suggesting the importance of balanced responses for sensitive care giving. Such
studies have led to the suggestion that, combined with behavioral strategies, acute or prolonged OT treatment may offer a safe and accessible intervention for women at risk of postpartum depression although no randomized controlled trials have emerged.

Among nulliparous women, acute administration of oxytocin has been reported to decrease amygdala responses and increase insula and inferior frontal gyrus responses to potentially adverse stimuli i.e. infant cries (Riem et al., 2011). Similarly, acute testosterone was also found to increase insula response to infant cries (Bos et al., 2010) in nulliparous healthy women. Testosterone is metabolized to estradiol, which activates neural regions important for maternal motivation. Therefore, increasing oxytocin and testosterone availability in maternal brain may modulate the processing of distressing emotional information e.g. infant cries and facilitate more appropriate responses, especially in mothers with dysregulated responses to such stimuli (see above). By contrast, greater cortisol reactivity to stress has also been associated with reduced neural responses to own baby cries (vs. other baby cries) among primiparous mothers (Laurent et al., 2011). The reduced neural responses were detected in the regions important for emotion regulation (anterior cingulate cortex and medial PFC) and maternal motivation (limbic area and periaqueductal gray). Studies such as these implicitly address effects of early-life events on later parental brain function, translating to humans some aspects of well-established rodent and non-human primate models (Champagne, 2010; Kaffman and Meaney, 2007; Veenema, 2009) as well as long-term effects of early trauma on stress axis functioning in human studies (Lupien et al., 2009; McEwen, 2008). Thus, in mothers who report higher maternal care in their own experience of childhood, greater responses to infant cries may be seen in regulatory cortical regions, including emotion regulation areas of the middle and superior frontal gyri, whereas mothers reporting lower perceived maternal care may be more likely to show increased hippocampal activations (Kim et al., 2010b). This underlines the importance for parenting of coordinated responses of these cortical and subcortical regions, as well as the importance of early life experience in the functioning of these circuits.

Recent work has also examined whether variations in infant cries are associated with maternal neural response to the cries. One study exposed nulliparous women to two different (high and low) distress levels of infant cries (Montoya et al., 2012). Interestingly, women showed greater neural responses to low distress cries vs. high distress cries in the superior and middle temporal gyri. The findings suggest that, compared to high distress cries, it was harder for women to interpret the possible causes and meaning of the low distress cries. Another study exposed a group of nulliparous women to two different (high and low) distress levels of infant cries, indicating that women recruited more attention to process the sound information than men. However, such gender difference was not detected in response to cries of ASD infants – perhaps because of abnormalities in ASD-cries that are easier to detect. Alternatively, high distress or atypical cries may inhibit maternal brain responses in some women particularly if they are rendered more sensitive to negative valence stimuli, such as with depression (Groenewold et al., 2012).

Indeed, in the first study of baby cry in women who experienced a postpartum depression, mothers at 18 months postpartum showed reduced responses to own vs. other baby in regions that process reward stimuli and promote maternal motivation – nucleus accumbens, caudate and thalamus (Laurent and Ablow, 2012). More depressive symptoms were also associated with less activity in response to own vs. other baby cry in the OFC, dorsal ACC, and superior frontal gyrus – regions important for emotion information processing and regulation, including valence and salience. Similarly, mothers who used one or more teratogenic substances during pregnancy (e.g. tobacco and alcohol) showed decreased responses to low distress cries in prefrontal cortex, insula and amygdala (Landi et al., 2011). These reports may imply that maternal depression and addiction to substances are associated with disrupted mother-infant relationships and reduced neural activation to infants, including infant cries, although whether or how such associations are causal remains to be identified. Alternatively, abnormalities in reward pathways that contributed to the likelihood of substance use might also affect parenting independently of these substances. In any case, reduced activations in the PFC may considered a candidate biomarker associated for risk of harsh, neglectful or other forms of negative parenting among high risk women such as mothers with mental illness (Goodman et al., 2011; Molitor and Mayes, 2010) and represent a future potential therapeutic target.

Parenting styles in humans are likely to be transmitted across generations (Belsky et al., 2005; Champagne, 2010) which explains in part why the neural and behavioral variations of mothering are associated with a mothers’ own parental care experiences. Quality of own parenting therefore acts as a specific early life environment that should perhaps be targeted for interventions for primiparous and multiparous mothers who report low quality of maternal care. Such new mothers exhibit both reduced brain density and activation to infant cries in frontal, orbital and temporal cortices compared to mothers who reported high quality maternal care (Kim et al., 2010b). Preliminary evidence also suggests that during the first few critical months postpartum, the same regions increase in size among healthy new mothers (Kim et al., 2010a) according to voxel based morphometry.

Parenting also influences the development of attachment style in children, which in turn affects the development of a child’s social information processing. For example, insecurely attached nulliparous women displayed greater amygdala reactivity to infant cry sounds than securely attached counterparts (Riem et al., 2012), suggesting that infant cries represent more aversive stimuli for them. Amygdala responses to infant cry in new mothers have also been interpreted as a form of heightened sensitivity (Barrett and Fleming, 2011). It seems that amygdala responses may be associated with negative and...
positive valence brain responses – perhaps relating to unmeasured factors in maternal experience.

2.2. What's in a baby's face?

Not only is facial recognition of one's infant and their emotional state critical to their survival, but evidence supports human preference for the exaggerated cute/infantile features of baby faces which appear to activate reward mechanisms within the caregiver and motivate/promote mothers to caregiving, bonding and attachment. In addition to activation of nucleus accumbens (NAcC) in response to baby schema (Glocker et al., 2009) and medial orbitofrontal cortex (OFC) response to infant faces relative to adults (Kringelbach et al., 2008), higher levels of infantile features are preferred (Parsons et al., 2011). Neuroimaging also suggests that the brain responds differentially to infant, relative to adult human and adult and infant animal faces, in non-parents, in regions including lateral premotor cortex, supplementary motor area, cingulate cortex, anterior insula and thalamus (Caria et al., 2012). Interestingly, activation in OFC and fusiform face area is disrupted when an alteration to the structure of an infant face (i.e. cleft lip) is perceived (Parsons et al., 2013). Once parenthood is established, data further indicate that greater specialization of the brain occurs with for example a right-sided lateralization in the prefrontal cortex (PFC) for emotional discrimination of infant relative to adult faces (Nishitani et al., 2011), perhaps as a result of attention processing (Thompson-Booth et al., 2014).

2.2.1. Brain responses to visual baby stimuli – 1st 10 years

Most early fMRI studies of parental responsiveness have very small samples and detail few characteristics of mothers or fathers making findings less reliable than some larger, newer studies. We shall outline the older literature first before considering more rigorous recent papers. One set of studies used photographs taken extremely early (i.e. 0–2 weeks postpartum), by the parents themselves. Using a block design, mothers and fathers 2–4 weeks postpartum saw 6 pictures continuously for 5 s each for blocks of 30 s (Swain et al., 2003, 2006) and own vs. other baby picture contrasts revealed activations in frontal and thalamo-cortical circuits. Correlations between activations to own vs. other contrast and parent–infant interactions also revealed significant activations in superior temporal lobe, OFC and ventral tegmental areas. These networks may be important for regulation of parental motivation and reward associated with baby-directed empathy, approach and caring behaviors, as well as social bonding.

To examine whether parental love may make use of the same reward circuits as other forms of love, Bartels and Zeki (2004) used photographs of own, familiar and unfamiliar infants (9 months to 3.5 years of age) as stimuli for parent brains. In 20 healthy mothers viewing still face photographs of their own child compared to age-matched photographs of other children, they reported increased activity in midbrain periaqueductal gray and substantia nigra regions, dorsal and ventral striatum, thalamus, left insula, ORC, sub-, pre-, and supra-genual anterior cingulate, and superior medial prefrontal cortex. There were also increases in cerebellum, left fusiform, and left occipital cortex, but decreases in the left amygdala. They also compared maternal brain responses of own vs. familiar child to contrasts of best friend vs. familiar friend to control for familiarity and positive affect and argued that responses were unique to the own child’s stimuli. They proposed that parent-infant attachment was regulated by a “push–pull” mechanism involving selective activation of motivation and reward systems, with cortical regions suppressing critical social assessment and negative emotion systems (Bartels and Zeki, 2004); this they argued may be extended to orchestrate positive feelings and caring behaviors.

In another report, photograph stimuli of much older own vs. other children (5–12 years old) were used as stimuli and mothers were asked to focus on identity but not feelings during scanning (Leibenluft et al., 2004). Some social cognition regions which are important for empathy were significantly activated in this paradigm, including anterior paracingulate, posterior cingulate and superior temporal sulcus (Saxe, 2006). In another small fMRI experiment using visuals across familiarity, Nitschke et al. (2004) studied six healthy, primiparous mothers’ at 2–4 months postpartum as they viewed smiling pictures of their own and unfamiliar infants. They reported OFC activations that correlated positively with pleasant mood ratings to infant pictures. In contrast, areas of visual cortex that also discriminated between own and unfamiliar infants were unrelated to mood ratings (Nitschke et al., 2004). Perhaps, activity in the OFC – which may vary across individuals – is involved with high order dimensions of maternal attachment. The implication that complex aspects of parenting could be quantified using fMRI of frontal areas to predict risks of mood problems in parents is appealing but requires further detailed work. Ranote et al. (2004) conducted a similar small experiment with the innovative, and perhaps more ecological video (silent) infant stimuli in 10 healthy mothers viewing alternating 40 s blocks of own neutral, and an unknown infant. They reported significant activation in “own” vs. “unknown” infant comparison in the left amygdala and temporal pole and interpreted involvement of circuitry regulating emotion and theory-of-mind (ability to attribute feelings and states of mind to others). This fits with fMRI experiments on biological motion, which activate similar temporal cortex regions (Morris et al., 2005).

Considering the contribution of the infant's affect to maternal brain function prompted a study, again using silent video clips of own vs. other infants in play or separation situations (Noriuchi et al., 2008). First, these authors confirmed increased activation associated with own baby pictures, in cortical orbitofrontal, anterior insula and precuneus areas, as well as subcortical regions including periaqueductal gray and putamen. These areas activate in arousal and reward learning. Second, they found strong and specific differential responses of mother’s brain to her own-infant’s distress in substantia nigra, caudate nucleus, thalamus, posterior and superior temporal sulcus, anterior cingulate, dorsal regions of OFC, right inferior frontal gyrus and dorsomedial prefrontal cortex – regions involved in emotion regulation and habitual behavioral response systems that are active in a range of normal and abnormal emotion-control states including obsessive–compulsive disorder (Swain et al., 2007). They also found correlations in OFC with
own baby-response and happiness as well as to their own distressed baby response in superior temporal regions. This is consistent with the emerging importance of these areas in social behaviors. Socially salient, personally tailored images and video-clips are now being combined with behavioral measures to understand better the functional architecture of parental brain. Taken together, fMRI experiments with parents, especially those using own vs. other/unknown baby visual stimuli commonly activate emotion/motivation-reward areas along with cortical regulation areas, thus laying the groundwork to test hypotheses about how such brain structures regulate parental thoughts and behaviors.

For example, empathy may be conceived as a key parent-thinking process and studied in mothers observing and imitating faces of their own and someone else’s child (Lenzi et al., 2009). In this study, regions believed to contain mirror neurons and connected limbic regions, including insula and amygdala respectively, were more active during emotional expressions from own child. Furthermore, the insula response correlated with the empathy-related measure of maternal reflective function. They also reported that baby joy expressions across identity evoked mostly right limbic and paralimbic areas important to emotional processing, whereas ambiguous expressions elicited responses in left-sided, high order cognitive and motor areas, logically reflecting associated cognitive effort and preparation to respond.

In addition to the capacity for complex empathic thoughts toward their infant, parents require motivation to undertake interactive behaviors and to derive reward from interacting with their infants. Integrating brain areas relevant for these actions with key hormones is likely to reinforce behaviors and provide for optimal parental sensitivity under a range of circumstances. Considering some of these complex factors, 28 healthy, first-time, singleton mother-infant dyads at 5–10 months postpartum were involved in one series of studies using visual infant facial cues of varying affect (smiling, neutral and crying) and scanning at 7–17 months postpartum. Notably, this is well past the ~3 month postpartum threshold for sophisticated social dyadic interactions (Strathearn et al., 2005, 2008). Dopamine-associated reward-processing regions were activated when mothers viewed their own vs. an unknown infant’s face, including ventral tegmental area/substantia nigra, and striatum. In addition, there were frontal lobe responses in emotion processing (medial prefrontal, anterior cingulate, and insula cortex), cognition (dorsolateral prefrontal cortex), and motor/behavioral outputs (primary motor area). Furthermore, happy, but not neutral or sad own-infant faces, activated nigrostriatal brain regions interconnected by dopaminergic neurons, including substantia nigra and dorsal putamen. Finally, a region-of-interest analysis revealed that activation in these regions was related to positive infant affect (happy > neutral > sad) for each own-unknown infant-face contrast.

2.2.2 Brain responses to visual baby stimuli – last 5 years
In pursuit of the role of oxytocin in modulating the parental brain, Strathearn et al. (2009) studied a total of 30 first-time mothers using a variety of affect-laden baby picture stimuli in combination with the Adult Attachment Interview and peripheral plasma oxytocin responses to infant play. In response to their own infant’s smiling and crying faces during fMRI, mothers with secure attachment showed greater activation of brain reward regions, including ventral striatum, and oxytocin-rich hypothalamic/pituitary regions. These results chime with effects of own parenting on fMRI activations to infant stimuli and suggesting that individual differences in maternal attachment experiences might also be crucial to measure in future imaging studies, and perhaps that they are linked with development and integration of dopaminergic and oxytocinergic neuroendocrine systems in striatum and hypothalamus.

In last few years, parental brain circuitry has been studied in the context of variables associated with parental illness (such as depression, anxiety, and substance misuse), and the work highlights regions-of-interest such as the amygdala, anterior cingulate cortex (ACC), PFC, insula and striatum. In a comparison of own-positive vs. unfamiliar-positive infant images, left amygdala response was reduced as a function of poorer concurrent maternal experience (measured by depression, anxiety, parental distress and attachment-related feelings about the infant) (Barrett et al., 2012). In a study employing similar task conditions, reduced dorsal (d) ACC activation to own-distress images was reported in depressed relative to non-depressed primiparous women (as measured by the Structured Clinical Interview for DSM-IV) (Laurent and Ablow, 2013). As a function of increased depressive symptomatology Center for Epidemiological Studies Depression (CESD) measure, reduced response was observed in the OFC and insula to own-joy faces and in left PFC and insula/striatum to own-joy vs. own-distress faces. Though infant stimuli were not included, brain response to negative emotional faces (fear and anger) in depressed vs. healthy postpartum women has been examined, yielding observations such as reduced dorsomedial (dmPFC) activation in depressed vs. healthy women to faces; a negative correlation between left amygdala and depression severity in depressed women; a positive correlation between right amygdala and absence of infant-related hostility in depressed women; and negative functional connectivity between left dmPFC and left amygdala in healthy, but not depressed women (Moses-Kolko et al., 2010). Finally, in a study examining substance users in the postpartum period relative to non-substance users, reduced activation to infant faces was reported in dorsolateral (dl)PFC, ventrolateral (vl)PFC, occipital regions, parahippocampus and amygdala (Landi et al., 2011). These studies suggest that maternal adversity and/or substance misuse may specifically be associated with reduced activation in reward and emotion circuits, with some indication that top-down connectivity between the FFC and limbic regions also may be compromised (Moses-Kolko et al., in press).

2.2.3 Brain responses to mother–baby dyad movies
Recent studies have employed mother–child dyadic vignettes as visual stimuli in the study of the parental brain. In one small but intriguing study, parental adversity, as defined by interpersonal violence-related posttraumatic stress disorder (IPV-PTSD), was examined. Motivated by findings demonstrating atypical caregiving in PTSD mothers following separation, vignettes of own vs. unfamiliar toddlers during play and following separation were presented to healthy mothers and those with IPV-PTSD (Schechter et al., 2012). IPV-PTSD mothers...
vs. healthy mothers (11 vs. 9) reported greater stress to viewing separation vignettes, greater limbic and reduced frontal-cortical activity was observed in IPV-PTSD mothers relative to healthy mothers in separation vs. play conditions, with reports of stress to viewing separation linking to the neural findings. These results are consistent with those in depression indicating reduced frontal-cortical activity in response to own vs. other baby-cry (Laurent and Ablow, 2012). A follow-up study of the same subjects reported correlation between limbic brain activations in response to own-child video vignettes and study of the same subjects reported correlation between limbic preverbal infants can enhance brain regions important to survival into adulthood and successfully navigate the social environment toward sensitive caregiving and attachment in order to increase the likelihood that the vulnerable infant will remain to be answered. First, we need better understanding of how the brain manages the presentation of static individual baby images relative to moving images involving the dyad. Does different responses to a vignette reflect something specific to the participant or to the vignette itself? Second, we have to understand how findings from neuroimaging studies examining perception of infant stimuli relate to the capacity for affective cognition of individual mothers and fathers. Future neuroimaging studies assessing parental affective cognition alongside behavioral measures of parenting may change our view of a dedicated parental circuitry. The findings from extant parental brain studies that have employed reverse inference in interpretation would be strengthened by studies that select more constrained and better described samples using more sophisticated paradigms. Third, imaging paradigms have explored the effects of only a few forms of parental adversity or mental illness. It is difficult to design a study which can selectively examine or isolate the effects of, for example, the severe chronic stress of poverty, young maternal age and early life trauma, and no studies have yet focused on resilience or the rescuing of adversity following intervention. We still know far too little about how or whether behavioral or neural correlates of maternal sensitivity/maternality responsiveness are modifiable. However we have reason to be cautiously optimistic given recent brain imaging studies of parents and the development of biomarkers for depression and its treatment (Harmer et al., 2011). Among possible medical treatments for low maternal sensitivity, oxytocin has been proposed. The following section examines points of intersection between the parental brain and oxytocin, and possible mechanisms that might inform studies which examine modulation of maternal responding in brain and behavioral paradigms through the use of oxytocin.

3. Parenting – connections between brain and oxytocin (OT)

The posterior pituitary neuropeptide hormone, oxytocin (OT), is a well-recognized component of a complex biobehavioral system crucial for the emergence of mother–infant bonding (Ross and Young, 2009). Research in rodents and other mammals has highlighted the importance of OT (on a background of changing levels of estrogen and progesterone during pregnancy and labor) to facilitate the onset and maintenance of maternal behavior (Champagne et al., 2001, 2003; Champagne, 2008; Insel and Young, 2001; Rosenblatt and Ceus, 1998). This was first suggested from studies that reported display of “full maternal behavior” in virgin female rats injected with OT (Pedersen and Prange, 1979). Conversely, inhibition of postpartum maternal behavior was affected in rats by injecting them with an OT-receptor antagonist (van Leengoed et al., 1987). Among high “licking and grooming” (i.e. maternal caregiving) female dams, significantly higher levels of OT receptors were also seen in brain regions implicated in the expression of maternal behavior across species, during pregnancy, at parturition and when nursing pups, such as the central nucleus of the hypothalamus, which is a target for OT's effects. In a functional magnetic resonance imaging (fMRI) study, a group of healthy mothers was scanned while viewing infant and non-infant audiovisual stimuli and compared them to a social interaction control condition. The study found that maternal brain response to mother-infant dyadic vignettes and dissociative symptoms (Moser et al., 2013), suggesting dissociative symptoms as a mechanism for reduced maternal maternal synchrony was associated with significant activity of left NAcc, while maternal intrusiveness was linked to activity of right amygdala (Atzil et al., 2012). However, in this experiment, it is unclear whether this pattern of brain response was a function of synchronous mothers or the viewing of a synchronous mother–infant interaction. This group has also examined the role of oxytocin, reporting positive correlations between cross-sectional plasma oxytocin levels and activation in the left NAcc, left insula, left intraparietal lobule, left and right temporal cortices, left sgACC, and left NAcc and right amygdala in mothers, and a range of frontal cortical areas in fathers. More studies with rigorous identification of maternal caregiving quality and parenting style with brain imaging are required (Wan et al., 2014).

Accumulating research confirms what most people, and nearly all mothers know to be the case: infant stimuli occupy a privileged status for the adult human brain, which may be modeled as in Fig. 1. Auditory and visual signals from preverbal infants can enhance brain regions important to recognition, emotion and motivation (i), reflexive caring behaviors (ii), emotion regulation (iii), and empathy/theory of mind toward sensitive caregiving and attachment in order to increasing the likelihood that the vulnerable infant will survive into adulthood and successfully navigate the social world and find a mate.

The early study of parental brain employed a variety of baby audiovisual stimuli to elucidate a putative neurocircuitry for parenting. More recently, studies have added correlations with psychometrics of parenting, parental adversity (e.g. poor maternal experience, attachment, perinatal depression and PTSD) as well as indices of parenting quality (e.g. synchronicity and maternal sensitivity) and finally neuroendocrine measures (oxytocin).

In spite of this encouraging scenario, important problems with study design, gaps in the evidence-base and inconsistencies between studies mean many questions remain to be answered. First, we need better understanding of how the brain manages the presentation of static individual baby images relative to moving images involving the dyad. Does different responses to a vignette reflect something specific to the participant or to the vignette itself? Second, we have to understand how findings from neuroimaging studies examining perception of infant stimuli relate to the capacity for affective cognition of individual mothers and fathers. Future neuroimaging studies assessing parental affective cognition alongside behavioral measures of parenting may change our view of a dedicated parental circuitry. The findings from extant parental brain studies that have employed reverse inference in interpretation would be strengthened by studies that select more constrained and better described samples using more sophisticated paradigms. Third, imaging paradigms have explored the effects of only a few forms of parental adversity or mental illness. It is difficult to design a study which can selectively examine or isolate the effects of, for example, the severe chronic stress of poverty, young maternal age and early life trauma, and no studies have yet focused on resilience or the rescuing of adversity following intervention. We still know far too little about how or whether behavioral or neural correlates of maternal sensitivity/maternality responsiveness are modifiable. However we have reason to be cautiously optimistic given recent brain imaging studies of parents and the development of biomarkers for depression and its treatment (Harmer et al., 2011). Among possible medical treatments for low maternal sensitivity, oxytocin has been proposed. The following section examines points of intersection between the parental brain and oxytocin, and possible mechanisms that might inform studies which examine modulation of maternal responding in brain and behavioral paradigms through the use of oxytocin.
Following birth, the female offspring of "low" licking and grooming mothers, who are cross-fostered and reared by "high" licking and grooming mothers, express a higher density of brain OT receptors than non-cross-fostered females, and similar levels to high licking and grooming mothers—suggesting that there is intergenerational transmission of the behavior sensitive to the rearing environment and likely dependent on epigenetic processes (Champagne, 2008).

Evidence for a similar "transition to maternity" affected by hormonal exposure during pregnancy and childbirth in human mothers is notably less robust, but also supports a role for OT during pregnancy. Mothers with a rising pattern of plasma OT reported higher maternal fetal attachment in the postpartum (Levine et al., 2007), and higher plasma OT levels during pregnancy and the first postpartum month were correlated with higher levels of maternal postpartum behaviors toward infants, such as gaze, vocalizations and positive affect in new mothers (Feldman et al., 2007). Maternal synchrony ("episodes when mother and infant coordinate their positive social engagement") is also reported to be positively correlated with maternal plasma OT level, while maternal intrusiveness ("inappropriate response from mother") is not...
OT plasma levels have also been examined in relation to maternal own-attachment experience (Strathearn et al., 2009): higher levels of plasma OT were reported following mother–infant physical interaction among mothers reporting secure attachment patterns with their own mothers compared to those with insecure attachment patterns. Even among non-parents, plasma OT levels have been positively correlated with self-reported recall of parental care (maternal and paternal care) (Feldman et al., 2012; Gordon and Feldman, 2008).

The literature also points to a role for OT in the regulation of stress responses. Indeed, a well-established view has emerged that, in humans, OT is anxiolytic and reduces fear and stress (Ayers et al., 2011; Ishak et al., 2011). Studies imply that this role is influenced by an individual’s previous experiences and difficulties in interpersonal relationships (Tabak et al., 2011); difficulties in relationships with primary partner (Taylor et al., 2006), or own infant (i.e. interactive stress) (Feldman et al., 2011), or romantic partner (Marazziti et al., 2006). These studies have all reported higher levels of cross-sectional plasma or urinary OT in relation to stress in social relationships. Some have concluded that OT appears to be an indicator of social affiliation, but it might also be a “signal” for the need to affiliate with others (Taylor et al., 2010).

Overall, a large and robust animal literature exists on OT’s role in maternal care behavior and an accumulating, albeit weaker human literature associates plasma OT with a wide range of social and emotional stimuli; scenarios, ranging from romantic love, or marital distress to psychopathology. Interactions between central OT and dopamine systems have also been associated with individual differences in maternal behavior in rodents. For example, stable, individual differences in rat maternal licking/grooming of pups were abolished by OT receptor blockade mediated by the direct effect of OT on dopamine release within the mesocorticolimbic dopamine system (Shahrokh et al., 2010). While animal models of maternal behavior are compelling and have identified key regions in a putative mothering circuit, human behaviors are undertaken in a far more complex environment. As outlined above, processes such as cognitive flexibility, attention control, working memory, and the mother’s ability to understand the intentions and emotions of her child (maternal mind-mindness or empathy) are fundamental components of human mothering and highly dependent on PFC. Additionally, several studies mentioned already above (Atzil et al., 2011, 2012; Riem et al., 2011; Strathearn et al., 2009) have attempted to assess neural circuitry related to parenting in subcortical reward/limbic regions as a function of plasma oxytocin levels.

The clinical implications of these studies are yet to be fully explored. However, preliminary observations that expectant mothers at risk for postpartum depression have lower plasma OT during pregnancy (Skrundz et al., 2011) may suggest value in interventions which enhance availability of central OT during pregnancy and perinatally in order to reduce risk of postpartum depression. Perhaps certain administration regimes may be explored to overcome likely problems with the overlapping role of OT in uterine contraction during pregnancy and the risk therefore of inducing premature labor. Therapeutic uses of oxytocin are currently being investigated in other neuropsychiatric disorders associated with poor social cognition, including ASD, OCD and schizophrenia (e.g. Bartz et al., 2011; Macdonald and Feifel, 2013). However, in order to understand the potential effects of exogenous oxytocin administration in humans, several important lessons from decades of animal research bear consideration. First, oxytocin effects are moderated by robust, hard-wired neurobiological mechanisms; thus, centrally administered oxytocin increases aggression to same-sex intruders after mating in monogamous Prairie voles, but not in non-monogamous Montane voles (Winslow et al., 1993). Similarly, preexisting “primers” are necessary to induce oxytocin-facilitated social bonding in monogamous Prairie voles, and, depending on the study designs, these primers can be gonadal hormones (e.g. estrogen), mating behaviors, or prolonged preexposure to a former stranger (for an early review, (Insel, 1997)). In other words, exposure to oxytocin alone is not sufficient to create de-novo social bonding. It seems likely that oxytocin facilitates the development of social bonds only when acting in the appropriate environment.

These findings have several critical implications for humans. Oxytocin administration is likely to affect individuals according to their existing social cognition and attachment styles as well as their past experiences, much like with the monogamous Prairie vole and non-monogamous Montane vole. It is unlikely that acute or chronic exogenous oxytocin administration alone can reverse genetically and/or environmentally determined affiliation/attachment styles. Oxytocin administration is likely to affect individuals according to their existing social cognition and attachment styles as well as their past experiences, much like with the monogamous Prairie vole and non-monogamous Montane vole. So, although performance on tasks highlighting empathy (such as trust games) can be enhanced by acute OT administration and empathic interactions can similarly increase plasma OT levels and consequent generosity in humans (Barranza and Zak, 2009), cultivating empathy in an “uncaring” individual is likely to be a more complex process; not least because its maintenance will surely rely on the accumulation of repeated positive experience. In support of this, oxytocin administration exerts no significant behavioral change in strangers who share no preexisting bonding relationship (Barranza et al., 2011; De Dreu, 2012a; Kosfeld et al., 2005; Zak et al., 2007) and a recent report finds that intranasal OT does not enhance approach/avoidance to social stimuli or exert a stronger effect on social vs. non-social stimuli in the context of processing emotional information but increases the salience of certain social stimuli and moderates salience of disgust stimuli (Theodoridou et al., 2013). Authors postulated that heightened responses to disgust may be particularly relevant for new mothers wishing to protect vulnerable young from contagion.

The positive effects of exogenous OT on social cognition may only be observed when the tasks demand a binding relationship in which reward contingencies are congruent between two players, where they form a partnership by becoming stake holders of common interest (Barranza et al., 2011; Kosfeld et al., 2005; Zak et al., 2007). Oxytocin administration also appears to increase polarized social behaviors in humans, e.g., in-group favoritism (De Dreu et al., 2011), by increasing within group cooperation and between group
competition (De Dreu, 2012a) and its administration may increase the emotional reactivity to perceived positive and negative cues in a social context (Olff et al., 2013). When social cues in the environment are interpreted as secure or positive ("me among us"), oxytocin may promote prosocial behaviors. In situations of higher threat or higher social stress, when the social cues are interpreted as insecure or negative ("me among them"), oxytocin may promote "anti-social" behaviors. This suggests that exogenous OT may serve to sharpen in/out group distinction (Colonnello et al., 2013).

Interestingly, it was found that OT levels in mothers, assayed from urine, were higher after interaction with children, no matter whether these were their own or unknown children (Bick and Dozier, 2010); also see Elmadih et al., this issue. In fact, OT levels appeared to be higher if the child was unknown compared to when the child was their own – perhaps serving an anxiolytic or anti-stress function in this particular experiment (Heinrichs et al., 2003). It may also fit with a role for OT in maternal protection and aggression towards intruders in several species including humans (Campbell, 2008; Neumann, 2008). Finally, in articles from this special issue, OT was measured and administered in respective experiments on mother behavior and non-mother responses to infant pictures. New mothers with low sensitivity showed higher plasma OT before and after playing with their infants compared to mothers with higher than average maternal sensitivity (Elmadih et al., this issue). These data suggest that OT is released as part of a stress response related to poor ability to cope with infant demands and may be moderating maternal stress in an attempt to promote care and bonding. This is complemented by the report that amygdala response to infant pictures among nulliparous women is enhanced by intranasal OT yet with more incorrect facial expression interpretation (Voorthuis et al., this issue) – again suggesting a role for OT in enhanced coping that may even interfere with nuanced responses to infant stimuli – although findings may be different among parents or with different OT administration protocols.

The complex literature on the effects in humans of externally administered hormone argue for further refinements in our understanding of how, and in what direction OT (measured in the plasma or elsewhere) is, or is not, causally associated with behavioral or emotional manifestations in people. When someone inhales OT, evidence points to its effects being determined by past experiences and present circumstances. For example, we observe that intranasal oxytocin may increase startle responses to stressful stimuli in humans (Grillon et al., 2013; Striepens et al., 2012) and that less anxiously attached, healthy men remember their mother as more caring and close after OT (vs. placebo), while more anxiously attached men remember their mother as less caring and close after OT (vs. placebo) (Bartz et al., 2010). Finally, healthy men with low emotion regulation abilities show higher cortisol stress responses (as expected), but also benefit more from intranasal OT; whereas those with high emotion regulating abilities do not (Quirin et al., 2011). This chimes well with early studies (Light et al., 2000) that reported increased plasma OT in mothers following a social stress task only when the mother held her baby before the task, but not if she did not.

3.1. Controversies and limits to the OT literature in parenting

The nature of the interaction between peripheral and central OT systems moment-to-moment remains elusive. Recent evidence in 41 non-psychiatric subjects concluded that there is no correlation between baseline CSF and plasma OT levels measured using sensitive radioimmunooassay (Kagerbauer et al., 2013). These authors rigorously appraise past literature using basal measurements of peripheral OT to reflect central effects of the hormone in humans, particularly in studies proposing links between plasma/salivary OT and human social behaviors. Such a critique of the evidence must caution future studies to acknowledge that OT occupies two physiologically distinct compartments (periphery and CSF) resulting in quite independent release patterns. This suggests that measuring plasma OT as a biomarker of a socio-emotional behavior assumed to be regulated by brain perhaps remains relevant only if a dynamic challenge paradigm is used with comparisons between distinct, well-characterized groups. Kagerbauer et al. (2013) acknowledge the relationship between gender, gonadal hormones and plasma OT; but they also point to a high quality study in pregnant women showing no correlation between plasma and CSF OT levels (Alternum et al., 2004).

Understanding how intranasal oxytocin affects human social behaviors clearly requires more thoughtful experimentation. These considerations do not foreclose the potential for exogenously/intranasally administered OT to reach brain and affect behavior relevant to parenting. Indeed, intranasal drug administration is increasingly favored as an effective means of delivering molecules rapidly to brain (Grassin-Delby et al., 2012). But it is likely that effects are not only sex-specific, but task specific (some suggest that OT does not change the salience of social-emotional cues) and task-specific between sexes (Ditzen et al., 2012). Beyond pregnancy and infancy, we have little information on how the OT system functions in socio-emotional relationships during periods of rapid brain reorganization (e.g. puberty) vs. those of relative developmental stability and we know little in humans about brain OT-receptor distributions and densities and how they may be influenced by environmental effects and epigenetic regulation. To what extent central OT receptors are sensitive to epigenetic modification in humans and whether, like in the rodents from Champagne’s (2008) work, they contribute to patterns of parental care that can be passed on (in a quasi-Lamarckian fashion) from generation to generation, are closely related questions. Other hormones and their receptor systems, including cortisol, estrogen, progesterone, endogenous opioids, are also important in the regulation of parenting and interact with OT (Gordon et al., 2011; Lahey et al., 2012; Nowak et al., 2011; Swain et al., 2011).

In summary, the complex links between OT and parental brain physiology in humans requires further, more detailed examination, taking into account key individual differences and maternal experiences past and present into account, in addition to other hormones, in order to appropriately interpret the inferences that can be drawn from studies using basal peripheral measures of the hormone alongside behavioral correlates.
4. Advances on the neurobiology of father brain

Studies of parent–infant interactions have historically targeted the mother–infant relationship. However, more recent research has demonstrated that fathering also plays a significant role for the child’s cognitive, emotional and social development (Lamb, 2004; Ramchandani and Psychogiou, 2009). For example, literature examining parental behaviors suggests gender differences in expressed emotion during interactions with children (Volling et al., 2002). Specifically, maternal sensitivity is typically expressed by emotional warmth and support whereas paternal sensitivity frequently manifests as the provision of stimulating interactions (Grossmann et al., 2008). This gender difference is supported by a study of a time series analysis of 100 first-time mothers and fathers interacting with their 5-month-old firstborn child (Feldman, 2003). Mother–child play was characterized by face-to-face exchange and included patterns of mutual gazing, covocalization, and affectionate touch. In contrast, during play with fathers, a pattern of interactive arousal was identified that contained several quick peaks of high positive emotionality, including joint laughter and open exuberance.

Behavioral data with fathers have demonstrated important differences in typical father vs. mother–child interactions beginning in infancy (Crawley and Sherrod, 1984; Feldman, 2003). Fathers, for example, tend to exhibit increased physical interactions with their young children, often characterized as “rough and tumble” play (Carson et al., 1993). Furthermore, within father–child relationships, it is the quality of active, parent–child play interactions, and not sensitivity per se, that is related to positive social emotional outcomes in children such as interpersonal confidence and the development of positive peer relationships (MacDonald, 1987). These data suggest that there may be some shared brain mechanisms between mothers and fathers, and some differences. Recently, an interesting study with small groups showed that males respond differently to non-own hunger cries than mothers (De Pisapia et al., 2013). Studying 9 men and 9 women (half in each group non-parents), more activity was seen in the dorsal medial prefrontal and posterior cingulate areas of male brains regardless of parental status. Such sex differences were interpreted as relating to mind-wandering in men relative to women. Sex differences between mothers and fathers may relate to the establishment and maintenance of specific aspects of parent–infant relationship and warrant a further study. A theoretical basis for sex differences may be found in differential roles of mothers and fathers in the development of exploratory systems in the infant and young child according to activation relationship theory (Paquette, 2004). This theory includes two dimensions of fathering that underlie the father–child relationship: (1) stimulation, wherein fathers encourage the child’s interaction with the outside world; and (2) discipline designed to provide children with limits that will maintain their safety (Paquette, 2004).

Such specialization of father–infant interactions is consistent with play studies showing more object-oriented or physical play associated with smiles compared with mother–infant interactions (Dickson et al., 1997; Feldman, 2003; Lamb, 1977; Ygman, 1981). Thus, unique contributions from father–child interactions (Boyce et al., 2006; Grossmann et al., 2002) to evolutionarily favorable sex-specific emotional expressions of the developing child may significantly constitute the mechanism through which sex differences in the expression of emotion (Vigil, 2009) cross generations.

The differential nature of father–vs. mother–child relationship suggests that the underlying neurobiology of fathering behaviors may be at least in part distinct. For example, in the model presented here (Fig. 1), paternal salience appraisal of infant stimuli may activate an emotional regulatory reaction in fathers that is stronger than that evoked for mothers. This kind of a response would underlie paternal capacity and motivation to effectively assess external contextual factors to determine whether situations are sufficiently safe to encourage the child’s engagement and interactions with the broader social environment. In contrast, hormonal changes corresponding to the perinatal period may result in a stronger reflexive caring response for mothers. Finally, empathic/mentalizing cognitive responses to infant cues may be similar for mothers and fathers, as it supports all types of parenting responses and behaviors – at least after an initial period of adaptation of some months. This is not well studied but at least in keeping with the observation mother vs. father statistical equivalence of correct identification of own vs. other baby-cry (Swain et al., 2005).

Preliminary work on the brain physiology of parents is consistent with significantly overlapping neurobiological and behavioral parenting processes for mothers and fathers with some interesting differences. For example, using the fMRI methodology with a sample of coparent couples of 4–6-month old infants, Atzil et al. (2012) found greater activation in limbic areas in response to own-infant (vs. other infant) video clips for mothers (and not fathers) as well as correlations of these areas (e.g., NAcc, amygdala, and ventral ACC) with increases in plasma oxytocin (OT) levels. In contrast, decreases in plasma OT for fathers were correlated in own- vs. other-infant comparisons, primarily with cognitive areas, including areas that are responsible for regulating and organizing behavioral responses to emotionally salient stimuli (e.g., dorsolateral PFC, dorsal ACC, IPC, and precentral gyrus). Further, in fathers (and not mothers), increases in plasma arginine vasopressin (AVP) were correlated with activations of the inferior frontal gyrus (IFG) and insula suggesting an increased social-cognitive response to infant cues for fathers.

Using similar methodology with a sample of young infants (8–19 weeks), the brain responses of fathers to own vs. other infant videos (Kuo et al., 2012) were obtained. Whole brain analysis demonstrated increased activity in emotion regulation (iii) circuits, including bilateral inferior frontal gyrus, and the empathic/mentalizing (iv) module including supramarginal (parietal) gyrus and bilateral middle temporal gyrus among human fathers (N = 10) in response to own (vs. other) baby stimuli. In addition, on the contrast of baby (both own and other babies) vs. doll video contrasts, fathers exhibited increased activity in all modules (Fig. 1): Sensory/Salience (i), Reflexive Caring (ii), Emotion regulation (iii), and Empathic/Mentalizing (iv), including bilateral caudate, orbitofrontal cortex, superior frontal gyrus, and superior parietal lobe. 

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Activation of these systems plausibly supports a father’s ability to attend to the infant and the surrounding environment and react as necessary to promote infant-environment interactions or to protect the infant from environmental dangers. Interestingly, greater responses to own (vs. other) baby stimuli in the FFC were associated with less paternal sensitivity. The findings may reflect the greater role of the OFC in interpretation of the unfamiliar infant cry among fathers.

Using infant photographs instead of video clips to stimulate father brains, Wittfoth-Schardt et al. (2012) found activations for own child in the left GP, the left hippocampus, the right mOFC (ii & iv, Fig. 1), as well as in the bilateral inferior frontal gyrus/anterior insula (iv) and activations for own child vs. other (unknown) child in the right GP, the left VTA (ii), the left mOFC, and the left inferior frontal gyrus(IFG)/anterior insula (iv). Additionally, OT administration reduced activation and functional connectivity of the left GP with reward-related attachment-related regions responsive to pictures of both own and unfamiliar child. Furthermore, in recent analyses of father brain activity, using an own vs. other child vs. adult picture task 1–2 years postpartum (Mascaro et al., 2013b), there was a main effect for child vs. adult pictures in the fusiform gyrus, dorsal medial prefrontal cortex, thalamocingulate and mesolimbic areas – fitting with arousal, reflexive caring and emotion regulation parental brain circuits (Fig. 1). VTA responses were associated with reduced testosterone levels, smaller testes volume as well as higher parental sensitivity. The findings support that fathers may gradually develop a strong attachment with their infants over the course of the first and second years, and the attachment building processes may be supported by neurobiological adaptations such as increased neural sensitivity to own infants and decreased testosterone levels. In response to 3–5 month-old infant cries, similar to the findings in mothers were reported for fathers 1–2 years postpartum (Mascaro et al., 2013a), including responses in bilateral IFG and GP. Furthermore, variations in androgen receptor gene were associated with activations in IFG and OFC – areas also involved in empathy and emotion regulation (iii & iv, Fig. 1).

Anterior insula activity had an non-linear relationship with paternal caregiving, such that fathers with intermediate valence to emotional images of her own as compared to an unknown infant, and how they might differentially engage brain reward systems. A combination of brain imaging plus behavioral paradigms seems more likely to result in enhanced mechanistic specificity. Thus, if we find impaired performance in a parent behaviorally when he or she is under stress, then the imaging may be able to indicate if this is because of over-activity in limbic stress systems (e.g. enhanced amygdala and insula activation to stressful cues) and/or because prefrontal control systems are underactive, making executive performance more readily disrupted. Having more information about which neural mechanisms drive which behavioral outcome has important implications for designing more effective interventions – e.g. depending on the parent, interventions may focus on reducing stress responses, or improving executive performance, or both.

The interpretation of fMRI data on parents’ brains responding to infant stimuli carries important caveats. These include consideration of timing, averaging of signals and the limitation of the paradigms themselves, which represent a

5. Conclusions and future directions

By mapping parental brain responses to infant stimuli, non-invasive and highly sensitive functional magnetic resonance imaging (fMRI) has added greatly to our knowledge of the brain basis of parental sensitivity. Furthermore, it has been confirmed that the observed maternal behavior reflects a physiological composite of multiple behaviors, with discrete maternal brain activation likely to occur in relation to each aspect of this behavior. Such conceptualization presents the possibility of identifying distinct neuro-hormonal pathways to poor maternal sensitivity, and of using changes in brain activation in response to infant stimuli as potential biomarkers for the development and evaluation of new diagnostic and treatment strategies at-risk parents.

Indeed, there are now many examples in which brain imaging can identify changes in neuronal activation that elude behavioral measures. For example amygdala activation and connectivity is significantly increased during subconsciously processing of fearful faces (Pantazatos et al., 2012). fMRI might then provide insight into the neural basis of unconscious or “automatic” parental responses (Papousek and Papousek, 2002). Similarly, behavioral measures of emotional function can be relatively insensitive, whereas using affective cognition challenges, fMRI has allowed the identification of important biomarkers for psychopathology and for treatment response in depression such as the amygdala and ACC (Groenewold et al., 2012; Harmer et al., 2009, 2011). In this way, imaging data complements and enhances behavioral information. For example, observational measures of behavior can address questions about whether a parent at risk of poor maternal care (or poor maternal sensitivity) has biases in attention systems, but only imaging can address questions about how such a parent attributes salience or valence to emotional images of her own as compared to an unknown infant, and how they might differentially engage brain reward systems. A combination of brain imaging plus behavioral paradigms seems more likely to result in enhanced mechanistic specificity. Thus, if we find impaired performance in a parent behaviorally when he or she is under stress, then the imaging may be able to indicate if this is because of over-activity in limbic stress systems (e.g. enhanced amygdala and insula activation to stressful cues) and/or because prefrontal control systems are underactive, making executive performance more readily disrupted. Having more information about which neural mechanisms drive which behavioral outcome has important implications for designing more effective interventions – e.g. depending on the parent, interventions may focus on reducing stress responses, or improving executive performance, or both.

The interpretation of fMRI data on parents’ brains responding to infant stimuli carries important caveats. These include consideration of timing, averaging of signals and the limitation of the paradigms themselves, which represent a
set of circumstances quite different from those actually experienced by a human parent in real-time with their own infant (Hari and Kujala, 2009; Schilbach et al., 2013). Lying in a magnet observing audiovisual stimuli is far from actual parenting and the field is working toward more ethologically accurate paradigms for use in brain imaging experiments of parental responsiveness.

In spite of these caveats and need for replication, fMRI studies of parental brain have revealed a set of cortico-limbic brain circuits that are activated in response to infant stimuli, and some of which track objectively measures parental sensitivity (Kim et al., 2011; Musser et al., 2012). Since parental behavior necessarily changes as infants grow and mature, so too do we have evidence that brain imaging activations change over time among healthy new mothers (Kim et al., 2010a). Plausible next research steps could include studies of the biological mechanisms required for specific aspects of parental brain adaptation. Such approaches to brain plasticity may be fruitful to understand the pathophysiology of parent-infant relational problems as well as potential opportunities for risk/resilience identification and brain-based intervention research. Intrasensory oxytocin remains an interesting candidate to help augment parenting in future therapies.

Over the past decade, a number of clinical studies demonstrate the positive effect of intranasal OT (exogenous OT) on emotion recognition/social anxiety (e.g., Guastella and MacLeod, 2012) and affiliative behavior between individuals (Riem et al., 2011), including fathers (Weisman et al., 2012). These suggest that OT administration may be indicated for vulnerable parents with low maternal sensitivity, although caution is still required (Tabak et al., 2011). If fMRI can discriminate different patterns of brain activation between parents at opposite ends of a spectrum of high and low maternal sensitivity, it prepares the way for future efficient hypothesis testing of the effects of novel interventions in small numbers of normal volunteers. In other words, a distinct neural profile of “higher” sensitivity parents or parent sensitivity response means functional imaging may identify useful “biomarkers” for future interventions; for example, monitoring the effects of intranasal OT or non-drug interventions aimed at enhancing parental responsiveness on aspects of neural circuitry.

We would argue that fMRI utility derives from the proposal that specific fMRI activation patterns could act as objective biomarkers for treatment development and in risk identification. We would suggest that future work using fMRI in new parents should assess affective cognition and how it relates to parental care behaviors before and after interventions in order to determine underlying neural pathways for what is, and what is not “working” with respect to the parental care. Such a model is already being developed for the mood disorders, in which fMRI studies have consistently demonstrated that limbic hyperactivity in response to emotional faces offers promise as a biomarker for response to anti-depressant (Harmer et al., 2011; Victor et al., 2012). Similarly, abnormalities in response to rewarding stimuli are difficult to access in human behavioral studies, but numerous fMRI studies have mapped the different neural responses to different rewards. Brain imaging may be able to determine whether low sensitivity parents show reduced reward system responses to their infants—an extremely challenging task based on observed behaviors or self-report. In the same way, within-subject, placebo-controlled designs combined with the superior sensitivity of imaging are likely to be of particular value in answering whether acute oxytocin can modulate affective cognition and other neural responses to infants in low-sensitivity mothers.

Future fMRI investigation of parental sensitivity and its modulation should include examination of the relationship between deficits in key affective cognition pathways. Increasing amounts of money are likely to be spent on efforts to improve parenting or reduce the adverse effects of poor parenting. In our opinion, the success of such approaches will continue to be limited unless we understand in detail the neurobiology which underpins poor parental sensitivity. This means being able to distinguish differing pathways to, and components of, poor sensitivity. Brain imaging represents a highly efficient methodology to explore this in relatively small numbers compared to numbers needed even for pilot randomized control trials using exclusively behavioral outcomes. Significant differences in neural activation with intervention vs. placebo (even if behavioral change is not recorded), would still support the value of comparing a combination of interventions plus behavioral vs. intervention alone in a future potential future randomized controlled trial.

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